

REGISTRATION

This document provides guidance on registering or transferring qualified horses with the United States Lipizzan Federation (USLF).

The USLF Board interprets, implements, and administers the policies set forth in the USLF Membership-approved *Rules and Regulations for the Registration of Horses*. The *USLF Registration Guide* is keyed by topic to the policy section and provides information and instructions for Members and others interested in registering or transferring horses. Please see the USLF's website or call the USLF for the current schedule of fees.

I. GENERAL REQUIREMENTS FOR REGISTRATION

- 1. STALLION LINES. The USLF recognizes as purebred those Lipizzans descended directly from the six stallion lines: CONVERSANO, FAVORY, MAESTOSO, NEAPOLITANO, PLUTO, and SIGLAVY. In addition, the stallion lines INCITATO and TULIPAN are equally recognized as long as the lineages of the sire and the dam both have five (5) consecutive generations of exclusively purebred Lipizzans. (Clarification: Five (5) generations behind the horse being registered; six (6) generations in all.)
- 2. MARE LINES. The USLF recognizes as purebred those Lipizzans descended directly from the classic mare lines, plus the additional mare families which have been introduced through traditional breeding procedures according to a plan of the individual European studs. The additional mare families are equal to the classic mare lines.
- 3. RECOGNIZED EUROPEAN BREEDING EXPERIMENTS. Horses of other breeds have been occasionally accepted in the pedigree of a Lipizzan, PROVIDED that these horses were incorporated in a traditionally recognized stud breeding program in Europe and that such breedings were approved by the Lipizzan International Federation (LIF). No other breeding experiment will be accepted as a purebred Lipizzan.
- 4. NON-PUREBRED LIPIZZANS AND LIPIZZANS WITH UNVERIFIABLE OR INCOMPLETE PEDIGREE.

The USLF recognizes and registers offspring of the species Equus caballus (horse) of either purebred Lipizzans or genetic reserve (GR) Lipizzans (defined in section 4b). Registered non-purebred Lipizzans will be designated by either XL(percent Lipizzan) or GR. Once registered, XL and GR Lipizzans are eligible for USLF awards.

- 4a.. XL(%) Lipizzan: A horse with one parent that is either a purebred Lipizzan or a GR Lipizzan. XL Lipizzans will be given an XL(%) classification where the % is the percent Lipizzan heritage.
- 4b. GR Lipizzan: XL Lipizzans who are 94% or greater purebred Lipizzan by pedigree analysis will be categorized as GR Lipizzans (to represent Genetic Reserve).
- 5. MULTIPLE OWNERS. A registered owner(s) is an individual(s) or business entity who signs the Registration Application. If multiple owner names are connected with the word "and", all owners' signatures will be required on all paperwork at the time of registration and in the future. If multiple owners names are connected with the word "or", then only one owner's name will be required on all paperwork at the time of registration and in the future. Regardless of the number of owners, only one Certificate of Registration will be issued.

NOTE: A five (5) generation pedigree is one which shows the horse being registered and five (5) generations behind that horse. Dates and places of birth are required for each horse named in the pedigree.

II. BREEDING

- 1. Natural breeding, including pasture breeding, is permitted. Artificial insemination (AI) of fresh, frozen, or transported semen (TS) is permitted. Implanted embryo (IE) procedure, also called "embryo transfer", is also permitted. Transported semen (TS) is semen that is shipped off the breeding farm or collection center for insemination.
- 2. All breeding Lipizzans, prior to their offspring being registered with the USLF, must be DNA typed by a USLF-approved laboratory. An official copy of the DNA typing report shall be on file with the USLF.
- 3. All foals/horses that are eligible to be registered with USLF must be DNA typed prior to a Certificate of Registration being issued. An official copy of the DNA typing report shall be kept on file with the USLF.
- 4. The Dam Information portion of the Registration Application must be completed by the stated owner(s) or lessee(s) of the dam, or by the agent of the stated owner(s) or lessee(s) of the dam. If the name of the stated owner(s) or lessee(s) of the dam on the Registration Application is different from the name of the stated owner(s) or lessee(s) on record in the USLF files, then a sale or lease agreement, signed by the stated owner(s) or lessee(s) of record, must accompany the Registration Application.
- 5. The Sire Information portion of the Registration Application is completed by the stated owner(s) or lessee(s) of the stallion, or by the agent of the stated owner(s) or lessee(s) of the stallion, at the time of the breeding(s). If the name of the stated owner(s) or lessee(s) of the stallion on the Stallion Service Certificate is different from the name of the stated owner(s) or lessee(s) on record in the USLF files, then a sale or lease agreement, signed by the stated owner(s) or lessee(s) of record, must accompany the Registration Application.

6. STALLION REPORTS.

- A. On or before December 31 of each calendar year, the stated owner(s) or lessee(s), or the agent of the stated owner(s) or lessee(s) of each purebred Lipizzan stallion to which mares have been bred or exposed during the preceding year must submit a Stallion Report on a form provided by the USLF. This form must be returned to the USLF office and be postmarked no later than December 31 of the calendar year in which the breedings have taken place.
- B. The Stallion Report shall include the name of the stallion, as well as the name of the stated owner(s) or lessee(s) of the stallion, and the name of the mare, as well as the name of the stated owner(s) or lessee(s) of the mare, all breeding dates or dates of exposure, and a notation indicating if a mare had been artificially inseminated (Al).
- C. Each Stallion Report shall be signed by the stated owner(s) or lessee(s) of record of the stallion, or by the agent of the stated owner(s) or lessee(s) of the stallion.
- D. No foal shall be eligible for registration unless the Stallion Report including that foal's breeding has been received by the USLF. The information pertaining to an individual foal must be identical in both the Stallion Report and on the Sire Information portion of the Registration Application.
- E. A separate Stallion Report for each stallion is to be submitted.
- F. Stallion Reports received bearing a postmark after December 31 may be subject to a penalty fee.

III. PROCEDURE TO REGISTER ELIGIBLE HORSES.

Registering a Lipizzan horse requires completing the Registration Application. All forms are available on the USLF's website or by contacting the USLF. All horses must be DNA typed to be registered. DNA kits will be sent once the USLF receives the completed application, all required documentation, and appropriate fees. The USLF may require additional information including but not limited to bills of sale, lease agreements, and/or additional DNA testing.

1. LIPIZZAN BRED IN NORTH AMERICA.

Provide the following:

- A. A fully and accurately completed Registration Application including all signatures;
- B. If either the sire or the dam is leased, provide a copy of the lease for USLF files;
- C. If either the sire or the dam is not currently registered with the USLF, provide a photocopy of the five (5) generation pedigree of the unregistered parent(s). DNA testing of the sire and/or dam may be required if not already on file with the USLF.
- D. Appropriate fees.

2. IMPORTED LIPIZZAN.

Provide the following:

- A. A Registration Application completed as far as is possible;
- B. Photocopy of the horse's five (5) generation pedigree from a recognized stud farm or from a recognized registry which must include dates and places of birth for all horses;
- C. A photocopy of the horse's exportation/importation papers;
- D. If the foal/horse was imported in utero, the dam must be registered first with the USLF. A photocopy of the five (5) generation pedigree of the sire from a recognized stud farm or from a recognized registry and a letter or certificate from the stud farm or registry certifying the breeding must also be provided.
- E. Appropriate fees.

3. REGISTERED XL LIPIZZAN.

Provide the following:

- A. A fully and accurately completed Registration Application with signatures;
- B. If the Lipizzan parent was leased, a copy of the lease must be on file with the USLF;
- C. If the Lipizzan parent is not registered with the USLF, a photocopy of its five (5) generation pedigree. DNA testing may be required if DNA is not on file for the Lipizzan parent.
- D. Optionally, the pedigree of the non-Lipizzan parent. A fee may be charged to include the pedigree of the non-Lipizzan parent on the Certificate of Registration if the owner desires
- E. Appropriate fees.
- 4. RECORDED (NOT ELIGIBLE FOR REGISTRATION) CLONE OF A LIPIZZAN HORSE.

Horses with a USLF number containing the letters "NT" are Recorded Clones. A Recorded Clone is not a registered Lipizzan, but has been conceived by nuclear transfer technique from cells obtained from a purebred Lipizzan registered with the USLF. The USLF will keep records of these horses and issue recording certificates to the owners. Contact the USLF for specific requirements for this recordation. Recorded Lipizzan Clones are eligible for USLF awards.

IV. DNA REQUIREMENTS

Parentage testing by using a DNA profile is the currently accepted procedure for establishing the genetic identity of a horse and of verifying its parentage. DNA information may be used in the future for genetic traits and disease diagnosis.

- 1. All horses to be registered by USLF must be DNA-typed for parent verification by a USLF-approved laboratory and the official results must be on file with the USLF before registration can proceed. The Registration Review Committee may, at its discretion, waive this requirement if a horse is being registered posthumously.
- 2. The purebred or GR Lipizzan sire and dam of any horse to be to be registered by USLF must be DNA-typed for parent verification by a USLF-approved laboratory and the official results must be on file with the USLF before registration can proceed. The Registration Review Committee may, at its discretion, waive this requirement in extenuation circumstances, for example, the sire and/or dam is no longer alive or their whereabouts unknown. This requirement does not apply if the horse to be registered is imported to North America and acceptable documentation is provided to show the horse was previously registered with an LIF-recognized organization.
- 3. DNA parent verification testing must verify the stated purebred or GR Lipizzan parentage, unless parent DNA is not on file for one of the exceptions listed above.

V. NAMING THE HORSE

The registered name of the Lipizzan may not be changed.

- 1. An imported Lipizzan shall keep its original name. For imported mares with a traditional stallion line name the owner may choose a second, feminine, name which will appear in parentheses as part of her pedigree and which will be used in the naming of her male offspring.
- 2. Purebred Lipizzan foals/horses born in North America shall be named according to the following rules:
 - A. Stallions/Geldings. Every stallion/gelding has a double name, the first being that of the lineage name of his sire and the second being the name of his dam. Duplication of names may result in the case of more than one breeding of the same sire to the same mare, or in the case that a different stallion from the same stallion line is bred to the same mare. In order to distinguish the stallions, the USLF, at the time of registration will add appropriate Roman numerals to the name which will then be a permanent part of that stallion's name.
 - B. Mares. The owner of a filly/mare may submit a name up to twelve (12) characters in length. The traditional convention of ending mare names in "a" is strongly recommended. The name may be one word or it may be two words separated by a hyphen. The hyphen will be counted as a character. The owner is asked to submit three names and list their preference in case another horse is already registered with the selected name. If the owner submits only one name and there is duplication then the USLF, in order to distinguish the filly/mare, will add appropriate Roman numerals to the name at the time of registration which will become a permanent part of that horse's name. The USLF reserves the right to refuse a name it deems inappropriate or unsuitable. Note that Lipizzan mare names traditionally used in Europe and North America are reserved for (may only be used to name) purebred Lipizzan mares.
 - C. XL Lipizzans may use any name with the exception that stallions and geldings may not use the method for naming described in Section V.2.A above. XL Lipizzan mare names may not include

Roman numerals. The USLF reserves the right to refuse a name it deems inappropriate or unsuitable.

VI. TRANSFER OF CERTIFICATE OF REGISTRATION TO A NEW OWNER.

- 1. In order for a transfer of the Certificate of Registration to a new owner to take place, a horse must already have been registered with the USLF.
- 2. Further, in order for a Certificate of Registration to be transferred to a new owner, the person(s) to whom the horse is currently registered according to the records of the USLF must endorse the Certificate.
- 3. The Certificate of Registration, naming the person(s) requesting the transfer, along with the appropriate fee, must be forwarded to the USLF.
- 4. All transfers of Certificates of Registration must be duly recorded by the USLF.
- 5. If title to a USLF registered Lipizzan or XL Lipizzan passes to another by reason of death or incapacity of the owner(s), the USLF may transfer the registration of such horse to the new owner upon order of a court of competent jurisdiction or upon other satisfactory proof of transfer of ownership.

VII. CHANGE OF STATUS REPORTS

The USLF must be notified of the following events:

- 1. Sterilization. When a previously registered Lipizzan or XL Lipizzan stallion is castrated (gelded) or a mare is spayed, the Date of Castration line on the back of the Certificate of Registration must be completed by the person(s) to whom the horse is registered or recorded, and the USLF notified. The owner(s) will sign and date the back of the Registration Certificate in the appropriate place and transmit a copy to the USLF.
- 2. Death. Upon the death of a Lipizzan or XL Lipizzan, the Date of Death line on the back of the Certificate of Registration must be completed by the person(s) to whom the horse is registered or recorded, and the USLF notified. The owner(s) will sign and date the back of the Registration Certificate in the appropriate place and transmit a copy to the USLF.

VIII. DUPLICATE REGISTRATION CERTIFICATE

A duplicate Certificate of Registration will be issued only when a signed Affidavit of Lost Registration form is submitted. If a transfer of ownership is being requested at the same time as the duplicate certificate, then a copy of the Bill of Sale should accompany the Affidavit along with the applicable fee. In addition, DNA-typing may be required.

IX. USLF CERTIFICATE OF REGISTRATION AND PEDIGREE POLICY

1. The USLF, in its continuing research concerning the background and identification of Lipizzan horses, may discover new information or corrections that affect a horse's pedigree. In the interests of keeping the pedigrees pure and accurate, the Board of Directors, with the advice of the Registration Review Committee, reserves the right to make the appropriate changes to a horse's record, even if it results in a change of status for the horse and its descendants. In the event that the USLF does make changes in a registered horse's pedigree, upon being informed of any changes, the owner of record must forward the horse's Certificate of Registration to the USLF, whereupon an updated Certificate of Registration will be issued at no charge. The USLF may rescind a horse's Certificate of Registration if it finds information on the Registration Application or on the pedigree(s) of the horse or of the horse's parents submitted in conjunction with the Registration Application to be false, whether or not the person knowingly submitted the false information.

writing to the USLF.	
X. POLICY CONCERNING ESTABLISHMENT OF OWNERSHIP The position of the USLF concerning its policy regarding responsibility and liability for establishing the true ownership of a horse is covered in the USLF Rules and Regulations.	3

2. Any question concerning an individual horse's pedigree or Certificate of Registration must be directed in